

AF

ATTORNEY DOCKET NO.: 2001P07466US01

282  
TGW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Capers, et al.

Application No.: 09/781,925

Filing Date: February 12, 2001

Title: INTEGRATED COMMUNICATION SERVER AND METHOD

Examiner: Angel L. Casiano

Art Unit: 2182

## CERTIFICATE OF EXPRESS MAIL, MAILING OR FACSIMILE TRANSMISSION

The undersigned hereby certifies that this correspondence is  
 being deposited under Express Mail Label No. \_\_\_\_\_ on the date given below; or

being deposited under 37 CFR 1.8 with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date given below, or

being facsimile transmitted to the U.S. Patent and Trademark Office at the fax number and date given below:

Facsimile Number: 703-

No. of Pages: 103 + Form 1449 + References ( total pages )

Date of Deposit or Transmission: February 27, 2006.

By: Peggy Simmon  
Typed Name: Peggy Simmonds

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir: This Information Disclosure Statement is submitted:

under 37 CFR 1.97 (b),  
(Within three months of either  the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d) or  the date of national stage entry of international application; or  before mailing date of first office action on the merits; or  before mailing date of a first office action after filing of a request for continued examination under 37 CFR 1.114).

under 37 CFR 1.97 (c) together with either a:  
 Certification under 37 CFR 1.97 (e), OR  
 the \$ 180.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account 19-2179. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25.  
(After the 37 CFR 1.97 (b) time period, but before mailing date of any of  a final action under 37 CFR 1.113, or  a notice of allowance under 37 CFR 1.311, or  an action that otherwise closes prosecution).

under 37 CFR 1.97 (d) together with a:  
 Certification under 37 CFR 1.97 (e), AND  
 the \$ 180.00 fee under 37 CFR 1.17(p) authorized to be charged to Deposit Account 19-2179  
At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25.  
(After the 37 CFR 1.97 (c) time period, but  on or  before payment of the issue fee).

under 37 CFR 1.97 (i)  
(Filed after time period of 37 CFR 1.97 (d) or not in compliance with 37 CFR 1.98 - No fee or certification required; references to be placed in file but not considered).

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56:

together with hard copies of all references (e.g. if this application was filed before June 30, 2003); or  
 with hard copies, if any, of only non-U.S. patents or non-U.S. published patent applications or U.S. pending unpublished patent applications (or portion thereof) or publications or other information of which applicant(s) are aware and which should be made of record in the above-identified application; or  
 without hard copies of publications or other information which have been submitted previously in the parent application (application no. \_\_\_\_\_) to which the above-identified application claims priority under 35 USC 120 and which should be made of record in the above-identified application.

**37 CFR 1.97(e) Certifications:**

The undersigned hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; OR

The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on  the attached separate sheet or  incorporated in the applicant's specification or  by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).

Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

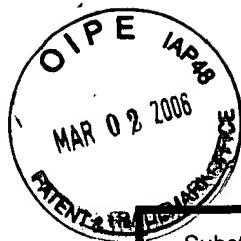
The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is or is considered to be material to patentability.

PLEASE MAIL CORRESPONDENCE TO:

Siemens Corporation  
**Customer No. 28524**  
Attn: Elsa Keller, Legal Administrator  
170 Wood Avenue South  
Iselin, NJ 08830

Respectfully submitted,

  
Anand Sethuraman, Reg. No. 43,351  
Attorney(s) for Applicant(s)  
Telephone: 650-943-7554  
Date: 2/29/06



Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **1** of **1** Attorney Docket Number **2001P07466US01**

### Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/781,925        |
| Filing Date          | February 12, 2001 |
| First Named Inventor | Capers, et al.    |
| Group Art Unit       | 2182              |
| Examiner Name        | Angel L. Casiano  |

| U.S. PATENT DOCUMENTS |                       |                      |                                   |   |  |
|-----------------------|-----------------------|----------------------|-----------------------------------|---|--|
| Examiner Initials*    | Cite No. <sup>1</sup> | U.S. Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
|                       |                       | Number               | Kind Code <sup>2</sup> (if known) |   |  |
|                       |                       |                      |                                   |   |  |
|                       |                       |                      |                                   |   |  |

| FOREIGN PATENT DOCUMENTS |                       |                         |                     |   |  |
|--------------------------|-----------------------|-------------------------|---------------------|---|--|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document |                     | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
|                          |                       | Office <sup>3</sup>     | Number <sup>4</sup> |   |  |
| A                        | JP                    | 10-40196                |                     | Peter A. Hansen                                 | 02-13-1998                                       |
| B                        | JP                    | 9-231173                |                     | Masui Nobuhiko                                  | 09-05-1997                                       |
| C                        | JP                    | 2001-022716             |                     | Nishi Koji                                      | 01-26-2001                                       |

| OTHER NON PATENT LITERATURE DOCUMENTS |                       |   |  |  |  |
|---------------------------------------|-----------------------|---|--|--|--|
| Examiner Initials*                    | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |
|                                       |                       |   |  |  |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

# Stand der Technik / Prior Art Documents

---

Unser Zeichen / Our Ref. P2002,0726

Titel / Title: Integrated Communication Server and Method

## Internat. Recherchenbericht/Prüfungsverfahren International Search/Examination procedure

|              | Already in the file of: |
|--------------|-------------------------|
| US 6,061,332 | US                      |
| EP 0 854 607 | US                      |
| EP 0 820 203 | US                      |
| EP 0 791 881 | US                      |

## JP-Prüfungsverfahren JP-Examination procedure

|                | Already in the file of: |
|----------------|-------------------------|
| JP 10-40196    | = US 5,838,907          |
| JP 9-231173    |                         |
| JP 2001-022716 | = US 6,804,722          |

## KR-Prüfungsverfahren KR-Examination procedure

US 5,761,432

Already in the file of: